

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  |   |    |   |                                 |                        |
|--|---|----|---|---------------------------------|------------------------|
| Substitute for form 1449/PTO   |   |    |   | <b><i>Complete if Known</i></b> |                        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | Application Number              | 09/586,704-Conf. #7559 |
|  |   |    |   | Filing Date                     | June 5, 2000           |
|  |   |    |   | First Named Inventor            | Ralph M. STEINMAN      |
|  |   |    |   | Art Unit                        | 1644                   |
|  |   |    |   | Examiner Name                   | R. B. Schwadron        |
|  |   |    |   | Attorney Docket Number          | RUJ-001CNRCE2          |
| Sheet  | 1 | of | 2 |                                 |                        |

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

|                       |                    |                    |            |
|-----------------------|--------------------|--------------------|------------|
| Examiner<br>Signature | /Ronald Schwadron/ | Date<br>Considered | 04/25/2010 |
|-----------------------|--------------------|--------------------|------------|

\* EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not examined. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. Applicant's unique citation designation number (optional). \*\* Kind of Code of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the patent document. The country code is indicated by the first two letters of the country code, the indicator of the year of the reign of the Emperor may precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. If possible. \* Applicant is to place a check mark here if English language Translation is attached.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: August 13, 2009

Electronic Signature for Jill Gorny Sloper, Esq.: /Jill Gorny Sloper/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.S./

|  |                        |                        |                          |  |
|--|------------------------|------------------------|--------------------------|--|
| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |                        |                        | <b>Complete if Known</b> |  |
|  | Application Number     | 09/586,704-Conf. #7559 |                          |  |
|  | Filing Date            | June 5, 2000           |                          |  |
|  | First Named Inventor   | Ralph M. STEINMAN      |                          |  |
|  | Art Unit               | 1644                   |                          |  |
|  | Examiner Name          | R. B. Schwadron        |                          |  |
|  | Attorney Docket Number | RUJ-001CNRCE2          |                          |  |
| Sheet  | 2                      | of                     | 2                        |  |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | C1                    | Badiee, Ali et al., "Enhanced delivery of immunoliposomes to human dendritic cells by targeting the multilectin receptor DEC-205," <i>Vaccine</i> , Vol. 25:4757-4766 (2007)  |                |
|                                 | C2                    | Guo, Ming et al., "A Monoclonal Antibody to the DEC-205 Endocytosis Receptor on Human Dendritic Cells," <i>Human Immunology</i> , Vol. 61:729-738 (2000)  |                |
|                                 | C3                    | <del>International Search Report and Written Opinion for Application No. PCT/US2008/082745, dated July 8, 2009</del>  |                |
|                                 | C4                    | <del>International Search Report and Written Opinion for Application No. PCT/US04/02725, dated January 9, 2005</del>  |                |
|                                 | C5                    | <del>International Search Report and Written Opinion for Application No. PCT/US02/26036</del>   |                |
|                                 | C6                    | <del>International Search Report and Written Opinion for Application No. PCT/US07/15114</del>   |                |
|                                 | C7                    | <del>International Search Report and Written Opinion for Application No. PCT/US2005/027044</del>  |                |
|                                 | C8                    | <del>International Search Report for Application No. PCT/US96/01383, dated June 12, 1996</del>  |                |

|                    |                    |                 |                    |
|--------------------|--------------------|-----------------|--------------------|
| Examiner Signature | /Ronald Schwadron/ | Date Considered | /Ronald Schwadron/ |
|--------------------|--------------------|-----------------|--------------------|

<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>Applicant is to place a check mark here if English language Translation is attached.

**Nonconsidered references lacked inventor names and date application was filed.**